

Please type a plus sign (+) inside this box

PECEIVEL TO 1700

Approved for use through 10/31/2002. CMB 0651-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

09/964,133

September 25, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 

Filing Date

## First Named Inventor William Stevens Taber Jr. **POWER OF ATTORNEY OR** Large Scale Procurement of Energy Title **AUTHORIZATION OF AGENT Efficiency Resources** 3629 Group Art Unit Borissov, Igor, N. **Examiner Name** 062787-5001US (020162-000310US) Attorney Docket Number I hereby appoint: Place Customer ☑ Practitioners at Customer Number 43850 Number Bar Code Label here ☐ Practitioner(s) named below: Name Registration Number NOV 5 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith. Please change the correspondence address for the above-identified application to: ☐ The above-mentioned Customer Number. OR Firm or Individual Name Address City State ZIP Country Fax Telephone I am the: Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). SIGNATURE of Applicant or Assignee of Record Name vens Taber, Jr., Chairman of the Board Signa(ure 70 00 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\* \*Total of 1 forms are submitted.

Burdén Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/96 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. 20162-000310US

STATEMENT UNDER 37 CFR 3.73(b)			
Applicant/Patent Owner: William Stevens Tab	er, Jr.	~ C	
Application No./Patent No.: 09/964,133	Filed/Issue Date: September 25, 200	1 10 70 700 10 10 10 10 10 10 10 10 10 10 10 10 1	
Entitled: LARGE SCALE PROCUREMENT OF	F ENERGY EFFICIENCY RESOURCES		
Princeton Development Corporation			
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, universit	ly, government agency, etc.)	
states that it is:			
1.  the assignee of the entire right, title, and interest; or			
<ol> <li>an assignee of less than the entire The extent (by, percentage) of its</li> </ol>			
in the patent application/patent identified above	e by virtue of either:		
	re patent application/patent identified above. The as tt and Trademark Office at Reel, Frame		
OR ·			
B. A chain of title from the inventor(s), of the as shown below:	e patent application/patent identified above, to the o	current assignee	
1. From:	To: United States Patent and Trademark Office at		
Reel, Frame, or for w		RECEIN !	
0.5	T	NOV 5 2004	
2. From: The document was recorded in the	To: United States Patent and Trademark Office at	140 1 0 "004	
Reel, Frame, or for whether		GROUP 360	
3. From:	То :		
The document was recorded in the Reel, Frame, or for w	United States Patent and Trademark Office at hich a copy thereof is attached.		
Additional documents in the chain of	of title are listed on a supplemental sheet.		
	ssignment document or a true copy of the original d n accordance with 37 CFR Part 3, if the assignment		
The undersigned (whose title is supplied below	) is authorized to act on behalf of the assignee.		
Cofine 2002 Date	William Stevens Taber, Typed or printed nam Signature Chairman of the Board Title	e	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



020162-000 310US JEM

DECEMBER 13, 2002

PTAS

TOWNSEND AND TOWNSEND AND CREW LLP JEFFRY S. MANN
TWO EMBARCADERO CENTER, 8TH FLOOR
SAN FRANCISCO, CA 94111-3834

Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



\*102226735A\*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/16/2002

REEL/FRAME: 013293/0639

NUMBER OF PAGES: 4

BRIEF: CORRECTED RECORDATION FORM COVER SHEET TO CORRECT ASSIGNEE'S

NAME, PREVIOUSLY RECORDED AT REEL/FRAME 013033/0706 (ASSIGNMENT

OF ASSIGNOR'S INTEREST)

ASSIGNOR:

TABER, JR, WILLIAM STEVENS

DOC DATE: 06/06/2002

ASSIGNEE:

PRINCETON DEVELOPMENT CORPORATION

860 BUTTERFIELD LANE

SAN ANSELMO, CALIFORNIA 94960

SERIAL NUMBER: 09964133

PATENT NUMBER:

FILING DATE: 09/25/2001

ISSUE DATE:

ANTIONE ROYALL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

9/1/1/07

Attorney Docket No. 20162-000310US

111010 Z	9-2002	,,	2000 1000 1000 1000
Form PTO-1595 Col (Rev. 03-01) OMB No. 0651-0027 (exp. 5/31/2002)		Sheet	U.S. Department of Commerce U.S. Patent and Trademark Office
Tab settings ⟩ ⟩ > ▼ 1022	26735		<b>VV</b>
To the Honorable Commissioner of Patents and Trade	marks. Please rece		documents or copy thereof
Name of conveying party(ies):	2. Name and ad	acress of rece	iving party(les)
William Stevens Taber Jr.	Name: Prince	eton Developn	nent Corporation
Additional name(s) of conveying parties attached?  Yes  No.	Street Addres	ss: <u>860 Butter</u>	field Lane
3. Nature of conveyance:	City: San Ans	selmoS	tate: CA ZIP: 94960
Assignment Merger			
☐ Security Agreement ☐ Change of Nam	ne Additional name	s and address	ses attached?   Yes   No
Other:	_		<u> </u>
			7 2m FF II
Execution Date: June 6, 2002		· <del>.</del> .	OFFICE OF PUBL 2002 SEP 1.6 FINANCE
4. Application number(s) or patent number(s).			NO P I
If this document is being filed together with a new applica	tion, the execution date o	of the applicati	on is:
A. Patent Application No(s): 09/964,133	B. Patent N		NCE SECTION
Additional number	ers attached? 🛄 Yes 🗵	] No	ROS ROS
<ol><li>Name and address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number	of application	s and patents involved 1
Name: Jeffry S. Mann	7. Total fee (37	CFR 3.41):	\$40.00
TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 <sup>th</sup> Floor San Francisco, California 94111-3834 (415) 576-0200	☐ Encl	losed	
	⊠ Auth	norized to be o	charged to deposit account
	8. Deposit accor	unt number: 2	20-1430
·	(Attach duplicate c	copy of this page	e if paying by deposit account)
DO NO	T USE THIS SPACE		
Statement and signature.  To the best of my knowledge and belief, the foregoing is	true and correct and any	v attached con	y is a true of copy of the original
document.		Lincollog oop	, i.e. a da di dapi di did diiginal
Jeffry S. Mann			9/10/02
Name of Person Signing Atty. Reg. No. 42,837	Signature		Date
Total number of pages inc	luding cover sheet, attachme	ents and docum	nent 3

Mail documents to be recorded with required cover to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

SF 1384096 v1

09/18/2002 STOH11 00000130 201430 40.00 CH



orney Docket No. 20162-000310US

Form PTO-1595 Re 1021 (Rev. 03-01) OMB No. 0651-0027 (exp. 5/31/2002) UFF1CE OF PURLIC PATENTS	U.S. Department of Commerce U.S. Patent and Trademark Office
Tab settings ⇒ ⇒ ▼ 7m2 JUN 24 AM 9 17	V V V
	Please record the attached original documents or copy thereof  2. Name and address of receiving party(ies)
1. Name of conveying party(ies): FINANCE SECTION William Stevens Taber	
William Stevens Tabel	Name: Princeton Development Corporation
Additional name(s) of conveying parties attached?  Yes  No.	Street Address: 860 Butterfield Lane
3. Nature of conveyance:	City: San Anselmo State: CA ZIP: 94960
☐ Security Agreement ☐ Change of Name	Additional names and addresses attached? ☐ Yes ☒ No
Other:	·
Execution Date: June 6, 2002	
4. Application number(s) or patent number(s).	
If this document is being filed together with a new application, the	e execution date of the application is:
A. Patent Application No(s): 09/964,133	B. Patent No(s):
A. Tatelit Application Hotol. 03/304,133	b. Tatom rioloj.
Additional numbers atta	ched? ☐ Yes ☒ No
5. Name and address of party to whom correspondence	Total number of applications and patents involved 1
concerning document should be mailed:	
Name: Jeffry S. Mann	7. Total fee (37 CFR 3.41):\$40.00
TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 <sup>th</sup> Floor	☐ Enclosed
San Francisco, California 94111-3834	_
(415) 576-0200	☑ Authorized to be charged to deposit account
	8. Deposit account number: 20-1430
	(Attach duplicate copy of this page if paying by deposit account)
DO NOT HER	TUIS CDACE
	THIS SPACE
<ol> <li>Statement and signature.</li> <li>To the best of my knowledge and belief, the foregoing is true as document.</li> </ol>	nd correct and any attached copy is a true of copy of the original
	1 1
Jeffry S. Mann	6/17/01-
Name of Person Signing	ignature Date
Atty. Reg. No. 42,837	+
	over sheet, attachments and document 3
Mail documents to be reco	rded with required cover to:

Mail documents to be recorded with required cover to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

07/01/2002 TDIAZ1 00000093 201430 09964133

01 FC:581 40.00 CH

Attorney Docket No.: 20162-000310US

## ASSIGNMENT OF PATENT APPLICATION

**SOLE** 

WHEREAS, William Stevens Taber, Jr. of 860 Butterfield, San Anselmo, CA 94960; hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

LARGE SCALE PROCUREMENT OF ENERGY

**EFFICIENCY RESOURCES** 

Date(s) of execution of Declaration: June 6, 2002

Filing Date:

September 25, 2001

Application No.:

09/964,133; and

WHEREAS, Princeton Development Corporation, a corporation of California, located at 860 Butterfield Lane, , San Anselmo, CA, 94960, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignor hereby authorizes and requests Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

Assignment Attorney Docket No.: 020162-000310US Page 2 aned his/her name on the date indicated. IN TESTIMONY WHEREOF, Assignor has Dated: 65 June William \$tevens Taber, Jr. STATE OF CALIFORNIA SS. COUNTY OF MARIN 2002\_, before me, personally appeared William Stevens Taber, Jr., personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. WITNESS my hand and official seal.

SF 1346952 v1

My Commission Expires: ADL.



020162-000210 05.

AUGUST 29, 2002

PTAS

TOWNSEND AND TOWNSEND AND CREW LLP JEFFRY S. MANN TWO ENBARCADERO CENTER 8TH FLOOR SAN FRANCISCO, CA 94111-3834

Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



:102141686A\*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/24/2002

REEL/FRAME: 013033/0706

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TABER, WILLIAM STEVENS

DOC DATE: 06/06/2002

ASSIGNEE:

PRINCETON DEVELOPMENT CORPORATION 860 BUTTERFIELD LANE

SAN ANSELMO, CALIFORNIA 94960

SERIAL NUMBER: 09964133

PATENT NUMBER:

FILING DATE: 09/25/2001

ISSUE DATE:

SHAREILL COLES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

<u>PATENT</u> Docket No.: 020162-000310US

On	Soptomber 10,200	2	
	NSEND and TOWNSEND and CRE		
Ву:	Claudin Cha		

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William Stevens Taber, Jr.

Application No.: 09/964,133

Filed: September 25, 2001

For: LARGE SCALE PROCUREMENT

OF ENERGY EFFICIENCY

RESOURCES

Examiner:

Art Unit:

2161

REQUEST FOR CORRECTED NOTICE OF RECORDATION OF ASSIGNMENT

**DOCUMENT** 

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed are copies of a Notice of Recordation of Assignment dated August 29, 2002, a Recordation Cover Sheet and Assignment which the Applicant received in the above-identified patent application for which a corrected Notice of Recordation of Assignment is respectfully requested.

The Assignee's name should be corrected to read as follows:

William Stevens Taber, Jr.

A Corrected Recordation Cover Sheet is also submitted herewith.

William Stevens Taber, Jr. Application No.: 09/964,133 Page 2

Please charge any underpayments or credit any amounts to Deposit

Account #20-1430. This request is filed in triplicate.

Respectfully submitted,

Jeffry S. Mann Reg. No. 42,83

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: (415) 576-0200 Fax: (415) 576-0300

JSM:clc

SF 1384099 v1

William Stevens Taber, Jr. Application No.: 09/964,133

Page 2

Please charge any underpayments or credit any amounts to Deposit

Account #20-1430. This request is filed in triplicate.

Respectfully submitted,

Jeffxy S. Mann Reg. No. 42,83

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834 Tel: (415) 576-0200

Fax: (415) 576-0300

JSM:clc

SF 1384099 v1